

IZJAVA TUJEGA VLAGATELJA O POLITIČNI IZPOSTAVLJENOSTI

Družba ALTA Skladi, družba za upravljanje, d.d., Železna cesta 18, 1000 Ljubljana (v nadaljevanju: družba), je kot zavezanica po Zakonu o preprečevanju pranja denarja in financiranja terorizma (Uradni list RS, št. 60/07; v nadaljevanju: ZPPDFT) skladno z njegovim 31. členom, dolžna preveriti in ugotoviti, ali je oseba, ki pristopa k pravilom upravljanja investicijskega sklada oziroma podsklada krovnega sklada, **tuja politično izpostavljena oseba**. Tuja politično izpostavljena oseba je vsaka fizična oseba, ki deluje ali je v zadnjem letu delovala na vidnem javnem položaju ter ima stalo bivališče v drugi državi članici EU ali tretji državi oziroma deluje ali je v zadnjem letu delovala na vidnem javnem položaju v drugi državi članici EU ali tretji državi, vključno z njenimi ožjimi družinskimi člani in ožjimi sodelavci. V zvezi z izvajanjem določil zakona Vas prosimo, da korektno in pravilno odgovorite na spodnja vprašanja. Ko se odgovarja na vprašanja, vezana na državne funkcije, se misli tudi na paradržavne in supradržavne organe.

The company ALTA Funds Inc., Železna cesta 18, 1000 Ljubljana, Slovenia (hereinafter referred to as the "Company") is liable under Article 31 of the Prevention of Money Laundering and Terrorist Financing Act (Official Gazette of the Republic of Slovenia, No. 60/2007 – hereinafter ZPPDFT) to identify whether a person accepting the terms of the INVESTMENT FUND RULES of an investment fund or subfund of an umbrella fund meets the standards of a "Foreign politically exposed person". For the purposes of this Statement, a Foreign politically exposed person means any natural person who is or has been entrusted with prominent public function in the previous year and resides in another Member State or in a third country, or a person who is or has been entrusted with prominent public function in another Member State or in a third country in the previous year, including immediate family members and close associates. Please provide exact and true answers. For any question relating to the public function, any function in supranational bodies should also be considered.

Ime: Name:	Priimek: Surname:
Stalno bivališče: Permanent residence: <hr/> <i>(ulica / street, hišna številka / house number, kraj / place)</i>	Država stalnega bivališča: Country of permanent residence:
Datum in kraj rojstva: Date and place of birth:	Št. pristopne izjave: Declaration of Accession Number:
Številka, vrsta, naziv izdajatelja uradnega osebnega dokumenta ter njegove veljavnosti: Number, type, validity and the entity that published the personal ID document:	

	Ali v drugi državi članici EU ali tretji državi zasedate pomembno državno funkcijo? Are you entrusted with a prominent public function in another Member States or in a Third country?	DA YES	NE NO
1.	Če ste na vprašanje pod točko 1. odgovorili pritrdilno, Vas prosimo, da navedete funkcijo, ki jo zasedate: If you have answered YES to the above question No. 1 please provide the exact title and the function that you have been entrusted with:		
2.	Ali v drugi državi članici EU ali tretji državi opravljate funkcijo izvoljenega predstavnika zakonodajnega telesa? Are you an elected member of a legislature body in another Member States or in a Third Country?	DA YES	NE NO
3.	Ali v drugi državi članici EU ali tretji državi opravljate funkcijo člana Vrhovnega sodišča, Ustavnega sodišča ali drugih sodnih organov na visoki ravni, zoper odločitve katerih, razen v izjemnih primerih, ni mogoče uporabiti rednih ali izrednih pravnih sredstev? Are you a member of a Supreme Court, Constitutional Court or other high judicial body in another Member State or Third country against which, except in rare cases, regular or irregular judicial remedy is not possible?	DA YES	NE NO
4.	Ali v drugi državi članici EU ali tretji državi opravljate funkcijo člana računskega sodišča ali sveta centralne banke? Are you a member of a Court of Auditors or the Central Bank in another Member State or Third country?	DA YES	NE NO
5.	Ali v drugi državi članici EU ali tretji državi opravljate funkcijo veleposlanika, odpravnika poslov, konzularnega predstavnika ali visokega časnika oboroženih sil? Are you nominated as Ambassador, chargé d'affaires, consul or a high ranking Commissioned Officer in another Member State or Third country?	DA YES	NE NO

6.	<p>Ali v drugi državi članici EU ali tretji državi opravljate funkcijo člana upravnega ali nadzornega organa v podjetju v pretežni državni lasti? Are you a member of a management board or a supervisory board of a company of which the majority of shares are owned by another Member State or Third Country?</p>	DA YES	NE NO
7.	<p>Ali je od prenehanja opravljanja nalog na vidnih funkcijah, navedenih v točkah od 1. do vključno 6., minilo več kot 12 mesecev? / Has it been over 12 consecutive month since the mandate of the functions as described in questions 1. through 6. has seized?</p> <p>Če ste na vprašanje pod točko 7. odgovorili pritrdilno, Vas prosimo, da navedete točen datum nastopa funkcije: If the answer to the question No. 7 above is positive, please provide the exact day that your function has begun:</p>	DA YES	NE NO
8.	<p>Ali ste ožji družinski član katere od tujih politično izpostavljenih oseb, navedenih v točkah od 1. do vključno 6. (npr. zakonec ali zunajzakonski partner, oče, mati, brat, sestra, sin, hči, zet, snaha)? Are you a close family member of a foreign politically exposed person as described in points 1 through 6 above (such as a spouse, common-law partner, father, mother, brother, sister, son, daughter, daughter-in-law, son-in-law)?</p> <p>Če ste na vprašanje pod točko 8. odgovorili pritrdilno, Vas prosimo, da navedete vrsto sorodstvenega razmerja s to osebo: If the answer to the above question No. 8 is »YES«, please provide the type of family relation to such a person:</p>	DA YES	NE NO
9.	<p>Ali ste ožji sodelavec katere od tujih politično izpostavljenih oseb, navedenih v točkah od 1. do 6., in imate skupni dobiček iz premoženja ali sklenjenega poslovnega razmerja ali katerekoli druge tesne poslovne stike s takšno osebo? Are you a close associate to a foreign politically exposed person listed under points 1 through 6 above or are you included in any benefits deriving out of any property held by such a person or business relationship with such a person?</p>	DA YES	NE NO
10.	<p>Če ste na vprašanja, navedena od točke 1. do vključno točke 8. odgovorili pritrdilno, Vas prosimo, da navedete izvor sredstev, ki bodo predmet naložbe v vzajemni sklad: If you have answered positively to any of the questions 1 through 8 above, please provide a detailed report of the source of the property being invested into an investment fund or subfund of an umbrella fund:</p>		

Seznanjen sem, da pritrdilni odgovor na katerokoli vprašanje iz točk 1. do vključno 9. zgoraj, razen pod točko 7., pomeni, da po kriterijih zakona izpolnjujem pogoje tuje politično izpostavljene osebe.

I am aware that any positive answer to the questions 1 through 9 above, except for the question 7, means that I meet the standards required by Law according to which I qualify as a foreign politically exposed person.

S podpisom te izjave jamčim za točnost in resničnost podatkov in dovoljujem, da družba v skladu s predpisi, ki urejajo varstvo osebnih podatkov, obdeluje in hrani moje osebne podatke izključno za namene, ki jih določa zakon. **Zavezujem se, da bom družbo obvestil o vsaki spremembi posredovanih podatkov.** S podpisom te izjave izrecno dovoljujem, da družba za namene preverjanja verodostojnosti podatkov, navedenih v izjavi, pri upravljalcih javnih evidenc podatkov oziroma pri pristojnih organih držav članic EU ali tretjih držav, konzularnih predstavništvi in veleposlaništvih teh držav v Republiki Sloveniji, oziroma pri slovenskem ministrstvu, pristojnem za zunanje zadeve, preverja verodostojnost navedb, podanih na tej izjavi.

By signing this statement I hereby confirm and am liable for the correctness of the data given and hereby agree that the Company may govern the personal data disclosed herein, with limitation that such data be governed only for the purposes collected and according to the local regulation on the protection of personal data. I hereby covenant to immediately inform the Company of any changes to the data provided for herein. By signing this statement I further give this Power of Attorney to the Company to check the data provided for herein with the embassies, consular posts located in the Republic of Slovenia or with the Ministry of the Republic of Slovenia of Foreign Affairs.

Kraj in datum:

Place and date: _____

Lastnoročni podpis:

Personal signature: _____